

TRANSMITTAL FOR A PCT INTERNATIONAL APPLICATION ENTERING
THE NATIONAL STAGE IN THE U.S. AS A DESIGNATED or ELECTED OFFICE
UNDER 35 USC 371

Attorney Docket No.: 58877-8004.US01

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Date of Deposit: October 21, 2005

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, as required under 37 CFR 1.10, on the date indicated above and is addressed to Mail Stop Box PCT, Attention DO/EO/US, Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

Typed Name: Marcia D. Shea

Signature:



INTERNATIONAL APPLICATION NUMBER: PCT/KR2004/001006

Int'l Filing Date: 30 April 2004

1st Priority Date: 30 April 2003

Inventor(s): Yang-Kook SUN and Snag-Ho PARK

For: METHOD FOR PRODUCING LITHIUM COMPOSITE OXIDE FOR USE AS POSITIVE
ELECTRODE ACTIVE MATERIAL FOR LITHIUM SECONDARY BATTERIES

MAIL STOP BOX PCT
Attention: DO/EO/US
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The United States Patent Office is: (select one)
 - ☐ A Designated Office (No Demand was filed - See 37 CFR 1.494)
 - ☒ An Elected Office (A Demand for Preliminary Examination was Filed - See 37 CFR 1.495)
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.

- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- a. ☐ is attached hereto.
- b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are attached hereto (required only if not communicated by the International Bureau).
- b. ☐ have been communicated by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ A signed oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ A power of attorney by assigned.
17. ☐ A computer-readable form of the sequence listing.
18. ☒ A second copy of the published international application under 35 U.S.C. 154(d)(4), including the search report.
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information: Copy of International Preliminary Report on Patentability
21. ☒ The following fees are submitted:

<input checked="" type="checkbox"/> a) Basic national fee	\$ 300.00
<input checked="" type="checkbox"/> b) Examination fee	\$ 200.00
<input checked="" type="checkbox"/> c) Search fee	\$ 500.00
TOTAL OF ABOVE CALCULATIONS =	\$1,000.00

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- ☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.

Total Sheets Thereof (round up to a whole number)	Extra Sheets _____/50 =	Number of each additional 50 or fraction _____	RATE = \$250.00	\$ _____
____ - 100 =				

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months
From the earliest claimed priority date (37 CFR 1.492(e)). \$ _____

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	44 - 20 =	24	x \$50.00/\$25.00	\$1,200.00
Independent Claims	1 - 3 =	0	x \$200.00/\$100.00	\$ _____
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			x \$360.00/\$180.00	\$ 360.00

TOTAL OF ABOVE CALCULATIONS = \$2,560.00

- ☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$1,280.00

SUBTOTAL = \$1,280.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months
From the earliest claimed priority date (37 CFR 1.492(f)). \$ _____

TOTAL NATIONAL FEE = \$1,280.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
Accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 40.00

TOTAL FEES ENCLOSED = \$1,320.00

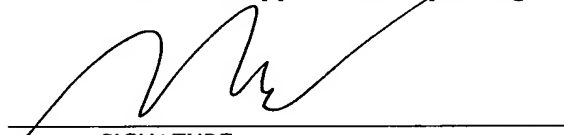
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-2207 (Order No. 58877-8004.US01) in the amount of \$1,320.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2207 (Order No. 58877-8004.US01).

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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